# **Graphs** SFWRENG 2CO3: Data Structures and Algorithms

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Winter 2024

Definition Let  $\mathcal{G} = (\mathcal{N}, \mathcal{E})$  be an undirected graph. The graph  $\mathcal{G}$  is an *undirected tree* if:

 the graph is connected (every pair of nodes is connected by a path);

• the graph has 
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We need some properties of minimum spanning trees.

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Assume a minimum spanning tree  $\mathcal{T}$  of  $\mathcal{G}$  that does *not* hold a light edge for  $(S, \mathcal{N} \setminus S)$ .



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Assume a minimum spanning tree  $\mathcal{T}$  of  $\mathcal{G}$  that does *not* hold a light edge for  $(S, \mathcal{N} \setminus S)$ .

▶  $\mathcal{T}$  must have a *non-light edge* connecting a node  $m \in S$  with a node  $n \in (\mathcal{N} \setminus S)$ .



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- ▶  $\mathcal{T}$  must have a *non-light edge* connecting a node  $m \in S$  with a node  $n \in (N \setminus S)$ .
- ▶ There must exist a *light edge* (v, w) for cut  $(S, N \setminus S)$  (*not* an edge of  $\mathcal{T}$ ).



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Now consider the graph  $\mathcal{T}'$  obtained from  $\mathcal{T}$  by *removing* (m, n) and *adding* (v, w).



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- Now consider the graph  $\mathcal{T}'$  obtained from  $\mathcal{T}$  by *removing* (m, n) and *adding* (v, w).
- *Claim*: the sum of edge weights of  $\mathcal{T}'$  is *lower* than the sum of edge weights of  $\mathcal{T}$ .



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- *Claim*:  $\mathcal{T}'$  is *connected* and, hence, a tree.


# A minimum spanning tree algorithm

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Assume a minimum spanning tree  $\mathcal{T}$  of  $\mathcal{G}$  that does *not* hold a light edge for  $(S, \mathcal{N} \setminus S)$ .

- Now consider the graph  $\mathcal{T}'$  obtained from  $\mathcal{T}$  by *removing* (m, n) and *adding* (v, w).
- Contradiction  $\mathcal{T}$  cannot be a minimum spanning tree!



# A minimum spanning tree algorithm

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### How to find such edges (m, n)?

Consider a cut  $(S, S \setminus N)$  such that no edge in E is a crossing edge.

We can pick any light edge for cut  $(S, S \setminus N)$ .

## **Algorithm** MST-HIGHLEVEL( $\mathcal{G} = (\mathcal{N}, \mathcal{E})$ , *weight*):

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 $\rightarrow \Theta\left(|\mathcal{E}|\log(|\mathcal{E}|)\right).$ 

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(C, E)	(D, B)	(F, G)	
(F, H)	(G, H)	( <i>G</i> , <i>I</i> )	
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Given a list of pairs (p, q) that imply that p and q are *connected*, we can classify values based on whether they are connected with each other (possibly via other values).



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Given a list of connections *L* and a new connection (p, q), determine whether adding (p, q) to *L* changes the classifications.

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We need both data structures and algorithms: data structures to represent the classification we have; algorithms to *check* whether adding a connection changes the classification; algorithms to *update* the classification by adding a connection.

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#### Faster minimization?

The *simple representation* is optimized for checking classifications, not updating them. We need another representation!

We want to optimize for *updating*: no updating of an entire array.



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#### The forest representation

Create an array S[0...N) such that S[i] either

- holds the value *i* indicating that *i* is the *tree root* for the class containing *i*;
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*Check* whether adding a connection (p, q) changes the classification: compare the *roots for the trees* holding p and q.

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We need to maintain tree size for roots: an extra array.









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#### Theorem

The height of a tree T of size |T| built in the above way is height $(T) \le \log_2(|T|)$ .

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### Theorem The height of a tree T of size |T| built in the above way is $height(T) \le log_2(|T|)$ . Proof By induction on the size of tree T.

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 $\mathsf{height}(T) \le \max(\log_2(|T_1|) + 1, \log_2(|T_2|)) \le \log_2(|T|).$ 

What if |T<sub>1</sub>| > |T<sub>2</sub>|?
 Switch T<sub>1</sub> and T<sub>2</sub> arround in the above.

We want to optimize for *updating*: no updating of an entire array.

#### The forest representation

We need to find roots *fast*: costs of checking and updating depends on it!

Finding the root of *p*: depends on the *distance toward the root* (the depth of *p*): Combining trees *T* by size:  $\Theta(\log_2(|T|))$ .

#### Theorem

The height of a tree T of size |T| built in the above way is height $(T) \le \log_2(|T|)$ .

## Dynamic connectivity: Conclusion

We briefly looked at three solutions for *dynamic connectivity*.

	Check	Update	Minimize (worst case)
Simple representation	$\Theta(1)$	$\Theta(N)$	$\Theta\left(L+N^2\right)$
Forest representation	$\Theta(N)$	$\Theta(N)$	$\Theta\left(L+N^2\right)$
(size-based tree construction)	$\Theta(\log(N))$	$\Theta\left(\log(N)\right)$	$\Theta\left(L\log_2(N)\right)$

Suitable combination of data structures and algorithms: efficient dynamic connectivity!

Note: Chapter 1.5 in the book!

# Kruskal's Algorithm

# Algorithm MST-KRUSKAL( $\mathcal{G} = (\mathcal{N}, \mathcal{E})$ , weight):

- 1:  $E := \emptyset$ .
- 2: while |E| ≠ |N| 1 do
- 3: Find an edge  $(m, n) \in \mathcal{E}$  with minimum edge weight such that m and n are not yet connected in  $(\mathcal{N}, E)$ .
- 4:  $E := E \cup \{(m, n)\}.$
- 5: **return** *E*.

# How to find edges $(m, n) \in \mathcal{E}$ ?

- Sort all edges on increasing edge weight.
- Maintain a *dynamic connectivity* data structure D that represents the connected components in (N, E).
- For each edge (m, n) in sorted order: check whether they are connected via D.
  Complexity. Θ (|E| log(|E|) + |E| log(|N|)).

 $\rightarrow \Theta\left(|\mathcal{E}|\log(|\mathcal{E}|)\right).$ 

 $\rightarrow \Theta\left(\log(|\mathcal{N}|)\right)$ 

 $\rightarrow \Theta(\log(|\mathcal{N}|))$  per check.

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 $\textit{Complexity.} \ \Theta \left( |\mathcal{E}| \log(|\mathcal{E}|) + |\mathcal{E}| \log(|\mathcal{N}|) \right) = \Theta \left( |\mathcal{E}| \log(|\mathcal{E}|) \right).$ 

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#### **Algorithm** MST-HIGHLEVEL( $\mathcal{G} = (\mathcal{N}, \mathcal{E})$ , *weight*):

- 1:  $E := \emptyset$ .
- 2: while (N, E) is not a spanning tree **do**
- 3: Find an edge  $(m, n) \in \mathcal{E}$  such that  $E \cup \{(m, n)\}$  is a subset of the edges of a minimum spanning tree of  $\mathcal{G}$ .
- 4:  $E := E \cup \{(m, n)\}.$
- 5: **return** *E*.

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*Idea*: a minimum-priority queue Q that holds nodes v with priority

 $p(Q, v) = \min\{weight((m, v)) \mid (m, v) \in \mathcal{E}, m \in M\}.$ 

Store the minimal-weight edge (m, v) as additional information with each node v.

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 To find w ∈ Q: keep track of the position of every node in Q via an array.

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#### How to find edges $(m, n) \in \mathcal{E}$ ?

*Idea*: a minimum-priority queue Q that holds nodes v with priority

 $p(Q, v) = \min\{weight((m, v)) \mid (m, v) \in \mathcal{E}, m \in M\}.$ 

Consider adding a node *n* to *M*. We need to update *Q* for every edge  $(n, w) \in \mathcal{E}$ .Fibonacci Heap:  $\Theta(\log(|\mathcal{N}|))$  to add or remove a value, amortized  $\Theta(1)$  to lower a weight. To find the next edge to add: remove nodes *n* from *Q* until  $n \notin M$ . *Complexity*.  $\Theta(|\mathcal{N}|\log(|\mathcal{N}|) + |\mathcal{E}|)$ .

#### Problem

Consider a weighted directed graph  $\mathcal{G} = (\mathcal{N}, \mathcal{E})$  in which

- the nodes N represent road crossings;
- $\blacktriangleright\,$  the edges  ${\cal E}$  are the roads connecting these crossings; and
- the weights weight((m, n)) represent the cost to travel along the road (m, n) (e.g., length of the road, duration of traveling along the road, fuel costs, ...).

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Shortest in terms of the provided weight:

No other path from *A* and *B* should have a *lower* sum of edge weights.

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 $An_2n_7n_0B \rightarrow 35$  $An_0B \rightarrow 20$  $An_8n_1n_5B \rightarrow 15$ 

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#### The *single-source shortest path* problem

Given a directed edge-weighted graph  $\mathcal{G} = (\mathcal{N}, \mathcal{E})$  and source node  $s \in \mathcal{N}$ , find *a* shortest path (if any) from *s* to every target node  $t \in \mathcal{N}$ .

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*Reminder* breadth-first search answers the single-source shortest path problem on *unweighted graphs*.

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Shortest path algorithms internally choose one of these options.

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Solution. Either disallow negative edge weights or negative-weight cycles.
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Can we represent *all* shortest paths from *A* without enumerating all of them? *Observation*: The shortest path to *B* consists of two parts:

- the last edge (from node  $n_6$  to node B); and
- the shortest path from A to node  $n_6$ .



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Generalization to the all-pairs shortest path problem  $A |N| \times |N|$  matrix representing one such array *per* node.

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- 2: *path*[*s*], *cost*[*s*] := *s*, 0.
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#### Theorem Algorithm SSSP-HIGHLEVEL is correct.

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Let cost be the cost of the paths from s represented by path. We say that  $(m, n) \in \mathcal{E}$  is eligible if cost[m] + weight((m, n)) < cost[n]. The values in cost are the costs of the shortest paths from s if no edges are eligible.

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#### Observation

If there is an *eligible edge* (*m*, *n*), then we have *certainly not* found all shortest paths!
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### Proof

Assume no edges are eligible and that there is a shortest path  $sn_1 \dots n_i t$ .

We have to prove  $cost[t] = weight((s, n_1)) + weight((n_1, n_2)) + \cdots + weight((n_i, t)).$ 

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Assume no edges are eligible and that there is a shortest path  $sn_1 \dots n_i t$ .

We have to prove  $cost[t] = weight((s, n_1)) + weight((n_1, n_2)) + \cdots + weight((n_i, t))$ . We have

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As cost[t] is the cost of a path from s to t and  $sn_1 \dots n_i t$  is the shortest path, we also have:

weight(
$$(s, n_1)$$
) + · · · + weight( $(n_{i-1}, n_i)$ ) + weight( $(n_i, t)$ )  $\leq cost[t]$ .

**Algorithm** SSSP-HIGHLEVEL( $\mathcal{G} = (\mathcal{N}, \mathcal{E})$ , weight,  $s \in \mathcal{N}$ ):

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### How to find eligible edges $(m, n) \in \mathcal{E}$ ?

Efficient shortest path algorithms depend on a good method to explorer eligible edges: e.g., we want to prevent revisiting the same edge multiple times.

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# Dijkstra's shortest-path algorithm $Q := \{(A:0)\}.$



 $Q := \{ (n_8 : 1), (n_2 : 5), (n_0 : 9) \}.$ 



 $Q := \{ (n_1:5), (n_2:5), (n_5:8), (n_0:9) \}.$ 

















 $Q := \{ (n_7 : 12), (n_9 : 14), (n_4 : 22) \}.$ 











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- ▶  $\Theta(|\mathcal{N}|\log(|\mathcal{N}|) + |\mathcal{E}|)$  with a Fibonacci Heap.

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### Correctness: for every node *t*

- *path* represents a shortest path from *s* to *t* of cost *cost*[*t*]; or
- ▶ there exists a node  $u \in Q$  with priority cost[u] such that a shortest path from *s* to *t* goes through *u* and the shortest path from *s* to *u* has cost cost[u].

► The all-pairs shortest path problem:

Give a directed edge-weighted graph  $\mathcal{G} = (\mathcal{N}, \mathcal{E})$ , find *a* shortest path (if any) between every pair of nodes  $(s, t) \in \mathcal{N} \times \mathcal{N}$ .

# • The single-sink shortest path problem: Give a directed edge-weighted graph $\mathcal{G} = (\mathcal{N}, \mathcal{E})$ and sink $t \in \mathcal{N}$

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### Shortest paths on undirected weighted graphs:

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The single-sink shortest path problem:

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 Shortest paths on *undirected weighted graphs*: Solution: interpret each undirected edge as two directed edges.

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Such a strategy leads to the *A*<sup>\*</sup> search algorithm.

Shortest and longest paths in directed acyclic graphs

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We process node *n* after determining the shortest path from *s* to *m* for all  $(m, n) \in \mathcal{E}$ .

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#### Longest paths in a directed acyclic graph?

For every edge  $(m, n) \in \mathcal{E}$ , replace weights weight((m, n)) by -weight((m, n)) and then compute the shortest paths with respect to these *negated* weights.

 $\rightarrow$  The longest path becomes the path with the *most negative* cost.

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Longest paths in a directed acyclic graph? The *longest path* in  $\Theta(|\mathcal{N}| + |\mathcal{E}|)$ .

This *only* works for directed acyclic graphs: Determining whether a longest path without node repetition of cost k exists in a graph is an *NP-complete problem*: no practical algorithms known to solve this problem!

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#### Problem

Given a directed edge-weighted graph  $\mathcal{G} = (\mathcal{N}, \mathcal{E})$  and source node  $s \in \mathcal{N}$ . For every target node  $t \in \mathcal{N}$ ,

- either detect that there is a negative-cost cycle on *a* path from *s* to *t*; or
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- ▶ find *a* shortest path (if any) from *s* to *t*.
- We cannot "simply" eliminate negative weights by adding a sufficiently-positive number: this will distort path lengths of paths consisting of many edges.

**Algorithm** SSSP-HighLevel( $\mathcal{G} = (\mathcal{N}, \mathcal{E})$ , weight,  $s \in \mathcal{N}$ ):

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**Algorithm** SSSP-Bellman-Ford( $\mathcal{G} = (\mathcal{N}, \mathcal{E})$ , weight,  $s \in \mathcal{N}$ ):

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Theorem Algorithm SSSP-Bellman-Ford is correct.

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#### Proof

*Invariant*: If there is a shortest path  $sn_1 ldots n_{i-1}$  of i - 1 edges, then *cost* and *path* represent a shortest path from *s* to  $n_{i-1}$ .



*i* := **1**.



*i* := **2**.



*i* := 3.



*i* := **4**.



 $i:=5,\ldots,9.$ 


# Shortest paths and negative weights



As there are eligible edges: there exists a negative-cost cycle.

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## Complexity: $\Theta(|\mathcal{N}||\mathcal{E}|)$ .

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The arbitrage problem

Is there a sequence of currencies  $C_1, \ldots, C_n, C_1$  such that exchanging X units of  $C_1$  for  $C_2$ , exchanging  $C_2$  for  $C_3, \ldots$ , exchanging  $C_{n-1}$  for  $C_n$ , and exchanging  $C_n$  back to Y units of  $C_1$  yields a profit (Y > X).

Find a cycle  $C_1, \ldots, C_n, C_1$  such that

 $r(C_1, C_2) \times r(C_2, C_3) \times \cdots \times r(C_n, C_1) > 1$  and is as large as possible.

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#### Solution

Use SSSP-Bellman-Ford with  $weight((m, n)) = -\log(r(m, n))$  and find a negative cycle!