# Graphs SFWRENG 2CO3: Data Structures and Algorithms

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- $\blacktriangleright$  the graph is connected (every pair of nodes is connected by a path);
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We need some properties of minimum spanning trees.

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Assume a minimum spanning tree T of G that does not hold a light edge for  $(S, N \setminus S)$ .

▶ T must have a non-light edge connecting a node  $m \in S$  with a node  $n \in (N \setminus S)$ .



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Assume a minimum spanning tree T of G that does not hold a light edge for  $(S, N \setminus S)$ .

- ▶ T must have a *non-light edge* connecting a node  $m \in S$  with a node  $n \in (N \setminus S)$ .
- $\blacktriangleright$  There must exist a *light edge*  $(v, w)$  for cut  $(S, N \setminus S)$  (not an edge of  $T$ ).



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Now consider the graph  $\mathcal{T}'$  obtained from  $\mathcal T$  by removing  $(m, n)$  and adding  $(v, w)$ .



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- Now consider the graph  $\mathcal{T}'$  obtained from  $\mathcal T$  by removing  $(m, n)$  and adding  $(v, w)$ .
- If Claim: the sum of edge weights of  $\mathcal{T}'$  is lower than the sum of edge weights of  $\mathcal{T}$ .



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- $\triangleright$  *Claim:*  $\mathcal{T}'$  is connected and, hence, a tree.


# A minimum spanning tree algorithm

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- Now consider the graph  $\mathcal{T}'$  obtained from  $\mathcal T$  by removing  $(m, n)$  and adding  $(v, w)$ .
- $\triangleright$  Contradiction T cannot be a minimum spanning tree!



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Consider a cut  $(S, S \setminus N)$  such that no edge in E is a crossing edge.

We can pick any light edge for cut  $(S, S \setminus N)$ .

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Create an array  $A[0...N)$  such that  $A[i]$  is the class identifier of value *i*. We can efficiently check in  $\Theta$  (1) whether pair  $(p, q)$  are already connected:  $S[p] = S[q]$ .



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### Faster minimization?

The *simple representation* is optimized for checking classifications, not updating them. We need another representation!

We want to optimize for updating: no updating of an entire array.



We want to optimize for *updating*: no updating of an entire array.



### The forest representation

Create an array  $S[0...N)$  such that  $S[i]$  either

- $\triangleright$  holds the value *i* indicating that *i* is the *tree root* for the class containing *i*;
- ▶ holds the value  $j \neq i$  indicating that *j* is a *tree parent* for the class containing *i*.

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Representation visualization



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Representation visualization



The forest representation

Check whether adding a connection  $(p, q)$  changes the classification: compare the roots for the trees holding  $p$  and  $q$ .

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Update the classification by adding a connection  $(p, q)$ : change the *root of the tree* holding  $q$  so that it points to  $p$ .

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We need to maintain tree size for roots: an extra array.























Problem: Can we guarantee low distances to roots? Consider Adding (0, 1), (1, 2), (2, 3), (2, 4), (3, 1), (5, 6), (5, 7), (6, 7),  $(6, 8), (7, 8), (8, 5), (9, 0), (9, 3), (5, 2).$ 

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#### Proof

By induction on the size of tree T.

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▶ What if  $|T_1| > |T_2|$ ? Switch  $T_1$  and  $T_2$  arround in the above.

We want to optimize for *updating*: no updating of an entire array.

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We need to find roots *fast*: costs of checking and updating depends on it!

Finding the root of p: depends on the *distance toward the root* (the depth of  $p$ ): Combining trees T by size:  $\Theta(\log_2(|T|)).$ 

#### Theorem

The height of a tree T of size |T| built in the above way is height(T)  $\leq \log_2(|T|)$ .

## Dynamic connectivity: Conclusion

We briefly looked at three solutions for *dynamic connectivity*.



Suitable combination of data structures and algorithms: efficient dynamic connectivity!

Note: Chapter 1.5 in the book!

# Kruskal's Algorithm

## Algorithm MST-KRUSKAL $(G = (N, \mathcal{E}))$ , weight):

- 1:  $F := \emptyset$ .
- 2: while  $|E| \neq |N| 1$  do
- 3: Find an edge  $(m, n) \in \mathcal{E}$  with minimum edge weight such that m and n are not yet connected in  $(N, E)$ .

4: 
$$
E := E \cup \{(m, n)\}.
$$

5: return E.

### How to find edges  $(m, n) \in \mathcal{E}$ ?

- $\triangleright$  Sort all edges on increasing edge weight.  $\rightarrow \Theta(|\mathcal{E}| \log(|\mathcal{E}|))$ .
- $\blacktriangleright$  Maintain a *dynamic connectivity* data structure D that represents the connected components in  $(N, E)$ .  $\rightarrow \Theta$  (log(|N|))
- $\blacktriangleright$  For each edge  $(m, n)$  in sorted order: check whether they are connected via D.  $\rightarrow \Theta(\log(|N|))$  per check. Complexity. Θ ( $|\mathcal{E}| \log(|\mathcal{E}|) + |\mathcal{E}| \log(|\mathcal{N}|)$ ).

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- $\blacktriangleright$  Maintain a *dynamic connectivity* data structure D that represents the connected components in  $(N, E)$ .  $\rightarrow \Theta$  (log(|N|))
- $\blacktriangleright$  For each edge  $(m, n)$  in sorted order: check whether they are connected via D.  $\rightarrow \Theta(\log(|N|))$  per check.

Complexity. Θ ( $|\mathcal{E}| \log(|\mathcal{E}|) + |\mathcal{E}| \log(|\mathcal{N}|) = \Theta(|\mathcal{E}| \log(|\mathcal{E}|)).$ 

# Kruskal's Algorithm

## Algorithm MST-KRUSKAL $(G = (N, \mathcal{E}))$ , weight):

- 1:  $F := \emptyset$ .
- 2: while  $|E| \neq |N| 1$  do
- 3: Find an edge  $(m, n) \in \mathcal{E}$  with minimum edge weight such that *m* and *n* are not yet connected in  $(N, E)$ .

4: 
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E := E \cup \{(m, n)\}.
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5: return E.

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## Algorithm MST-HIGHLEVEL( $G = (N, \mathcal{E})$ , weight):

1:  $F := \emptyset$ .

2: while  $(N, E)$  is not a spanning tree do

3: Find an edge  $(m, n) \in \mathcal{E}$  such that  $E \cup \{(m, n)\}\$ is a subset of the edges of a minimum spanning tree of  $G$ .

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E := E \cup \{(m, n)\}.
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- 1:  $E, M := \emptyset$ , r with r a node from N.
- 2: while  $M \neq N$  do
- 3: Find the lowest-weight edge  $(m, n) \in \mathcal{E}$  with  $m \in M$  and  $n \notin M$ .
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*Idea*: a minimum-priority queue Q that holds nodes v with priority

 $p(Q, v) = min{weight((m, v)) | (m, v) \in \mathcal{E}, m \in \mathcal{M}}.$ 

Store the minimal-weight edge  $(m, v)$  as additional information with each node v.

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Consider adding a node *n* to *M*. We need to update Q for every edge  $(n, w) \in \mathcal{E}$ . Fibonacci Heap:  $\Theta(\log(|N|))$  to add or remove a value, amortized  $\Theta(1)$  to lower a weight. To find the next edge to add: remove nodes *n* from Q until  $n \notin M$ . Complexity.  $\Theta(|N| \log(|N|) + |\mathcal{E}|)$ .

#### Problem

Consider a weighted directed graph  $G = (N, \mathcal{E})$  in which

- $\blacktriangleright$  the nodes N represent road crossings;
- $\triangleright$  the edges  $\epsilon$  are the roads connecting these crossings; and
- $\blacktriangleright$  the weights weight( $(m, n)$ ) represent the cost to travel along the road  $(m, n)$ (e.g., length of the road, duration of traveling along the road, fuel costs,  $\dots$ ).

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Shortest in terms of the provided weight:

No other path from A and B should have a *lower* sum of edge weights.

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 $An_2n_7n_0B \rightarrow 35$  $An_0B \rightarrow 20$  $An_{8}n_{1}n_{5}B \rightarrow 15$ 

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#### The single-source shortest path problem

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> Reminder breadth-first search answers the single-source shortest path problem on unweighted graphs.

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Shortest path algorithms internally choose one of these options.

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Solution. Either disallow negative edge weights or negative-weight cycles.
Representing the shortest paths from a source node Consider the single-source shortest paths from A



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Can we represent all shortest paths from A without enumerating all of them? Observation: The shortest path to B consists of two parts:

- $\blacktriangleright$  the last edge (from node  $n_6$  to node B); and
- $\blacktriangleright$  the shortest path from A to node  $n_6$ .



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 $n_5$ 

8

7

2

4



12

5

9

13

1

11

 $n_1$ 

 $n_8$ 

A

 $n<sub>0</sub>$ 

 $\sqrt{n_4}$ 

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Generalization to the all-pairs shortest path problem A  $|N| \times |N|$  matrix representing one such array *per* node.

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- 2:  $path[s], cost[s] := s, 0.$
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#### Theorem Algorithm SSSP-HIGHLEVEL is correct.

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#### Theorem

Let cost be the cost of the paths from s represented by path. We say that  $(m, n) \in \mathcal{E}$  is eligible if  $cost[m] + weight((m, n)) < cost[n]$ . The values in cost are the costs of the shortest paths from s if no edges are eligible.

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#### **Observation**

If there is an *eligible edge*  $(m, n)$ , then we have *certainly not* found all shortest paths!
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#### Proof

Assume no edges are eligible and that there is a shortest path  $sn_1 \ldots n_i t$ .

We have to prove  $cost[t] = weight((s, n_1)) + weight((n_1, n_2)) + \cdots + weight((n_i, t)).$ 

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cost[t] \leq cost[n_i] + weight((n_i, t))\leq \text{cost}[n_{i-1}] + \text{weight}((n_{i-1}, n_i)) + \text{weight}((n_i, t))\leq weight((s, n<sub>1</sub>)) + · · · + weight((n<sub>i-1</sub>, n<sub>i</sub>)) + weight((n<sub>i</sub>, t)).
```
#### Theorem

Let cost be the cost of the paths from s represented by path. We say that  $(m, n) \in \mathcal{E}$  is eligible if  $cost[m] + weight((m, n)) < cost[n]$ . The values in cost are the costs of the shortest paths from s if no edges are eligible.

#### Proof

Assume no edges are eligible and that there is a shortest path  $sn_1 \ldots n_i t$ .

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 $cost[t] \leq weight((s, n_1)) + \cdots + weight((n_{i-1}, n_i)) + weight((n_i, t)).$ 

As cost [t] is the cost of a path from s to t and  $sn_1 \ldots n_i$  is the shortest path, we also have:

 $weight((s, n_1)) + \cdots + weight((n_{i-1}, n_i)) + weight((n_i, t)) ≤ cost[t].$ 

Algorithm SSSP-HighLevel( $G = (N, \mathcal{E})$ , weight,  $s \in \mathcal{N}$ ):

- 1: path, cost :=  $[n \mapsto ? \mid n \in \mathcal{N}]$ ,  $[n \mapsto \infty \mid n \in \mathcal{N}]$ .
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#### How to find eligible edges  $(m, n) \in \mathcal{E}$ ?

Efficient shortest path algorithms depend on a good method to explorer eligible edges: e.g., we want to prevent revisiting the same edge multiple times.

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We *disallow* negative edge weights.

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Use a priority queue to process nodes in increasing best-known distance to s.

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# Dijkstra's shortest-path algorithm  $Q := \{(A: 0)\}.$



 $Q = \{(n_8 : 1), (n_2 : 5), (n_0 : 9)\}.$ 



 $Q = \{(n_1 : 5), (n_2 : 5), (n_5 : 8), (n_0 : 9)\}.$ 

















 $n_0$ :  $A \mid 9$  $n_1: \begin{array}{|c|c|} n_8 & 5 \end{array}$ 

 $Q = \{(n_7 : 12), (n_9 : 14), (n_4 : 22)\}.$ 











 $Q := \{\}.$ 



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#### **Correctness**

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#### Correctness: for every node t

- $\triangleright$  path represents a shortest path from s to t of cost cost [t]; or
- $▶$  there exists a node  $u \in Q$  with priority cost [u] such that a shortest path from s to t goes through u and the shortest path from s to u has cost  $cost[u]$ .

 $\blacktriangleright$  The all-pairs shortest path problem:

Give a directed edge-weighted graph  $G = (N, \mathcal{E})$ , find a shortest path (if any) between every pair of nodes  $(s, t) \in N \times N$ .

#### $\blacktriangleright$  The single-sink shortest path problem: Give a directed edge-weighted graph  $G = (N, \mathcal{E})$  and sink  $t \in \mathcal{N}$ find a shortest path (if any) from any node  $s \in \mathcal{N}$  to t.

#### $\triangleright$  Shortest paths on *undirected weighted graphs*:

 $\blacktriangleright$  The all-pairs shortest path problem:

Give a directed edge-weighted graph  $G = (N, \mathcal{E}),$ find a shortest path (if any) between every pair of nodes  $(s, t) \in N \times N$ . Solution: Run SSSP-DIJKSTRA for each node.

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Give a directed edge-weighted graph  $G = (N, \mathcal{E})$  and sink  $t \in \mathcal{N}$ find a shortest path (if any) from any node  $s \in \mathcal{N}$  to t. Solution: Reverse edges in  $G$  and run SSSP-Dijkstra with source t.

▶ Shortest paths on *undirected weighted graphs*: Solution: interpret each undirected edge as two directed edges.

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Give a directed edge-weighted graph  $G = (N, \mathcal{E})$  and source and target nodes s,  $t \in N$ , find  $a$  shortest path (if any) from  $s$  to  $t$ .

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Such a strategy leads to the  $A^*$  search algorithm.

Shortest and longest paths in directed acyclic graphs

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- 1: path, cost :=  $[n \mapsto ? \mid n \in \mathcal{N}]$ ,  $[n \mapsto \infty \mid n \in \mathcal{N}]$ .
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We process node *n after* determining the shortest path from *s* to *m* for all  $(m, n) \in \mathcal{E}$ .

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- 3: **for all**  $n \in \mathbb{N}$  in topological order (and that follow *s*) **do**
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Topological order:  $n_4$ ,  $n_6$ ,  $A$ ,  $n_1$ ,  $n_8$ ,  $n_2$ ,  $n_7$ ,  $n_5$ ,  $n_9$ ,  $n_0$ .

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We observe that this method has no issues with *negative weights*.

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### Longest paths in a directed acyclic graph?

For every edge  $(m, n) \in \mathcal{E}$ , replace weights weight( $(m, n)$ ) by  $-\text{weight}((m, n))$  and then compute the shortest paths with respect to these *negated* weights.

 $\rightarrow$  The longest path becomes the path with the most negative cost.

Algorithm SSSP-DAG( $G = (N, \mathcal{E})$ , weight,  $s \in \mathcal{N}$ ):

- 1: path, cost :=  $[n \mapsto ? \mid n \in \mathcal{N}]$ ,  $[n \mapsto \infty \mid n \in \mathcal{N}]$ .
- 2:  $path[s], cost[s] := s, 0.$
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Topological order:  $n_4$ ,  $n_6$ ,  $A$ ,  $n_1$ ,  $n_8$ ,  $n_2$ ,  $n_7$ ,  $n_5$ ,  $n_9$ ,  $n_0$ .

 $n_0$  ?  $\infty$  $n_1$  ?  $\infty$  $n_2$   $A$   $-5$  $A \mid A \mid 0$  $n_4$  | ? |  $\infty$  $n_5$  | ? |  $\infty$  $n_{6}$  | ? |  $\infty$  $n_7$  ?  $\infty$  $n_8$  | A |  $-1$  $n_9$  ?  $\infty$ 

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We observe that this method has no issues with *negative weights*.

Longest paths in a directed acyclic graph? The *longest path* in  $\Theta(|N| + |\mathcal{E}|)$ .

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Longest paths in a directed acyclic graph? The *longest path* in  $\Theta(|N| + |\mathcal{E}|)$ .

This only works for directed acyclic graphs:

Determining whether a longest path without node repetition of cost  $k$  exists in a graph is an NP-complete problem: no practical algorithms known to solve this problem!

- $\triangleright$  SSSP-Dijkstra requires non-negative weights.
- $\triangleright$  SSSP-DAG requires a directed acyclic graph.

- ▶ SSSP-DIJKSTRA requires non-negative weights.
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#### Problem

Given a directed edge-weighted graph  $G = (N, \mathcal{E})$  and source node  $s \in \mathcal{N}$ . For every target node  $t \in \mathcal{N}$ ,

- $\triangleright$  either detect that there is a negative-cost cycle on a path from s to t; or
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Algorithm SSSP-HighLevel( $G = (N, \mathcal{E})$ , weight,  $s \in \mathcal{N}$ ):

- 1: path, cost :=  $[n \mapsto ? \mid n \in \mathcal{N}]$ ,  $[n \mapsto \infty \mid n \in \mathcal{N}]$ .
- 2:  $path[s], cost[s] := s, 0.$
- 3: while  $(m, n) \in \mathcal{E}$  with  $cost[m] + weight((m, n)) < cost[n]$  do
- 4: path[n],  $cost[n] := m$ , weight( $(m, n)$ ) + cost[m].
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#### Algorithm SSSP-BELLMAN-FORD( $G = (N, \mathcal{E})$ , weight,  $s \in \mathcal{N}$ ):

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- 2:  $path[s], cost[s] := s, 0.$
- 3: for  $i = 1$  upto  $|N| 1$  do
- 4: **for all**  $(m, n) \in \mathcal{E}$  with  $cost[m] + weight((m, n)) < cost[n]$  do
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Theorem Algorithm SSSP-Bellman-Ford is correct.

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### Theorem

Algorithm SSSP-Bellman-Ford is correct.

#### Proof

*Invariant*: If there is a shortest path  $sn_1 \ldots n_{i-1}$  of  $i - 1$  edges, then cost and path represent a shortest path from s to  $n_{i-1}$ .



 $i := 1$ .



 $i := 2.$ 



 $i := 3$ .



 $i := 4.$ 



 $i := 5, \ldots, 9$ .


# Shortest paths and negative weights



As there are eligible edges: there exists a negative-cost cycle.

## Shortest paths and negative weights

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## Complexity:  $\Theta$  ( $|N||\mathcal{E}|$ ).

Consider a currency exchange where one can exchange some currencies X for currency Y at exchange rate  $r(X, Y)$ . For example  $r(CAD, EUR) = 0.68$ .



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### The arbitrage problem

Is there a sequence of currencies  $C_1, \ldots, C_n, C_1$  such that exchanging X units of  $C_1$  for  $C_2$ , exchanging  $C_2$  for  $C_3, \ldots$ , exchanging  $C_{n-1}$  for  $C_n$ , and exchanging  $C_n$  back to Y units of  $C_1$  yields a profit  $(Y > X)$ .

Find a cycle  $C_1, \ldots, C_n, C_1$  such that

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#### Solution

Use SSSP-BELLMAN-FORD with weight( $(m, n)$ ) =  $-\log(r(m, n))$  and find a negative cycle!